#### **Compressed Graphs**

We will next describe a simple representation for graphs.

- Let G = (V, E) be a directed graph, where V = [0..n) and  $E \subseteq V \times V$  with |E| = m.
- We will use adjency lists to represent the graph. For each  $v \in V$ , let

$$S_v = (w \in V : (v, w) \in E)$$

be the adjacency list for v.

- Let  $S[0..m) = S_0 S_1 \dots S_{n-1}$  be the concatenation of the adjacency lists. Let L[0..n) be the sizes of the adjacency lists, i.e.,  $L[v] = |S_v|$ .
- Now each  $e \in [0..m)$  represents an edge:

```
target(e) = access_S(e)
source(e) = search_L(e)
```

• The edges incident to a node v can be listed as follows:

$$\mathsf{out-edges}(v) = [\mathsf{sum}_L(v), \mathsf{sum}_L(v+1))$$
  
 $\mathsf{in-edges}(v) = \{\mathsf{select}_S(v,i) \mid i \in [\mathsf{0..rank}_S(v,m))\}$ 

Thus the graph G is represented by:

- A string S[0..m) over the alphabet V = [0..n) with support for operations access, rank and select.
- An array L[0..n) of non-negative integers summing up to m with support for operations sum and search.

Both can be stored in compressed form.

```
Example 3.16:

S = bcd c de d

L = 3 1 2 0 1

a

b

c

e
```

Additional attributes such as weights can be associated to nodes using an array A[0..n) and to edges using an array B[0..m).

## **Balanced Parentheses**

Let B[0..2n) be a bit vector with n 1-bits and n 0-bits. Define

 $excess_B(i) = rank - 1_B(i) - rank - 0_B(i)$ 

*B* is a balanced parentheses (BP) sequence if  $excess_B(i) \ge 0$  for all  $i \in [0..2n]$ . Then each 1-bit can be interpreted as an opening parenthesis "(" and each 0-bit as a closing parenthesis ")".

Example 3.17:		(	(	(	)	)	(	(	)	(	)	(	)	)	)	
		1	1	1	0	0	1	1	0	1	0	1	0	0	0	
	excess	0	1	2	3	2	1	2	3	2	3	2	3	2	1	0

Interesting operations on BP sequences include finding the matching parenthesis and the nearest enclosing pair of parentheses:

find-close<sub>B</sub>(i) = min{ $j \in [i+1..n)$  | excess<sub>B</sub>(j+1) = excess<sub>B</sub>(i)} for B[i] = 1find-open<sub>B</sub>(j) = max{ $i \in [0..j)$  | excess<sub>B</sub>(i) = excess<sub>B</sub>(j+1)} for B[j] = 0enclose<sub>B</sub>(i) = max{ $k \in [0..i)$  | excess<sub>B</sub>(k) < excess<sub>B</sub>(i)} for B[i] = 1

The operations can be supported in constant time using o(n) bits of space in addition to the bit vector. The details are omitted.

# **Succinct Trees**

Any rooted tree of n nodes can be represented as a BP sequence of 2n bits:

- A leaf u is represented by BP(u) = 10.
- An internal node v with children  $u_1, u_2, \ldots, u_k$  is represented by  $BP(v) = 1BP(u_1)BP(u_2) \ldots BP(u_k)0$ .

```
Example 3.18: ((())(()()))
```



A pointer to a node v is expressed as the starting position of BP(v) in the whole sequence. Interesting operations include (the ones on the right assume that the requested node exists):

$$\begin{aligned} \text{is-leaf}(v) &= [\operatorname{access}_B(v+1) = 0] & \text{parent}(v) = \operatorname{enclose}_B(v) \\ \text{depth}(v) &= \operatorname{excess}_B(v) & \text{first-child}(v) = v+1 \\ \text{preorder-rank}(v) &= \operatorname{rank-1}_B(v) & \text{next-sibling}(v) = \text{find-close}_B(v)+1 \end{aligned}$$

#### Sparse bit vectors

Many applications involve sparse bit vectors with few 1-bits. The following is a useful result for analysing them:

**Lemma 3.19:** Let B[0..u) be a bit vector with  $n \le u/2$  1-bits. Then  $uH_0(B) = n \log(u/n) + O(n)$ .

**Proof.** Since  $\ln x \le x - 1$  for all x > 0,

$$\ln(u/(u-n)) \le (u/(u-n)) - 1 = n/(u-n).$$

Noting that  $\log x = (\log e) \ln x$ , where  $\log e \approx 1.44$ , we get

$$uH_0(B) = n\log\frac{u}{n} + (u-n)\log\frac{u}{u-n}$$
  
$$\leq n\log\frac{u}{n} + (u-n)(\log e)\frac{n}{u-n} = n\log\frac{u}{n} + n\log e.$$

Thus such bit vectors with support for rank and select can be stored in  $uH_0(B) = n \log(u/n) + O(n) + o(u)$  bits. We used this result on slide 138.

Gap encoding is another method for compressing sparse bit vectors: Encode gaps between 1-bits using  $\gamma$  or  $\delta$  encoding. It can be made to support rank and select too.

### Summary

- We have seen how data structures with nontrivial functionality can be implemented in small additional space even when the primary data is in compressed form.
- We have seen how complex data structures can be built using a toolbox of basic components and techniques such as bit vectors with rank and select. This is not unlike traditional data structures but the toolbox is different.
- These data structures are practical: they are used in real world applications in bioinformatics, and there are a couple of libraries with implementations of the basic components (see course home page).
- All the data structures we have seen are static: they do not support operations that modify the data. There are dynamic versions of many of the data structures, including dynamic bit vectors, though the dynamicity often comes at a cost in time and/or space.