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Lecture 8

## CPU Examples & RISC

**Ch 12.5-6 [Sta06]**

- Pentium/ARM

**Ch 13 [Sta06]**

- Instruction analysis
- RISC vs. CISC
- Register use


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## Computer Organization II

# Pentium

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## X86: Processor Registers

(Sta09 Table 12.2)

(a) Integer Unit in 32-bit Mode

Type	Number	Length (bits)	Purpose
General	8	32	General-purpose user registers
Segment	6	16	Contain segment selectors
EFLAGS	1	32	Status and control bits
Instruction Pointer	1	32	Instruction pointer

EAX, EBX, ECX, EDX,  
ESP, EBP, ESI, EDI

CS, SS, DS,  
ES, FS, GS


EFLAGS

EIP

(b) Integer Unit in 64-bit Mode

Type	Number	Length (bits)	Purpose
General	16	32	General-purpose user registers
Segment	6	16	Contain segment selectors
RFLAGS	1	64	Status and control bits
Instruction Pointer	1	64	Instruction pointer

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## X86: Processor Registers

(Sta09 Table 12.2)


(c) Floating-Point Unit

Type	Number	Length (bits)	Purpose
Numeric	8	80	Hold floating-point numbers
Control	1	16	Control bits
Status	1	16	Status bits
Tag Word	1	16	Specifies contents of numeric registers
Instruction Pointer	1	48	Points to instruction interrupted by exception
Data Pointer	1	48	Points to operand interrupted by exception

Function as a stack,  
or store MMX values

selector, offset

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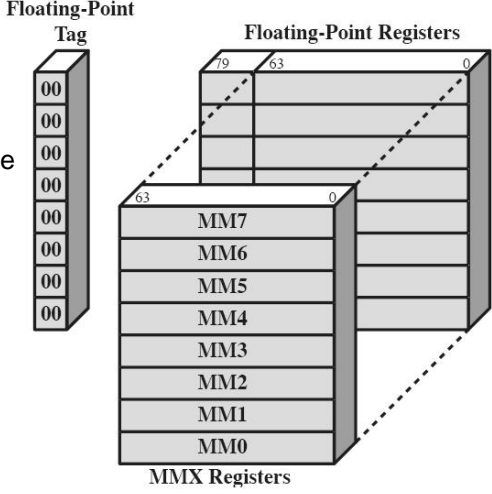


## Pentium: FP / MMX Registers

(Sta09 Fig 12.24)


(Sta06 Fig 12.22)

- Aliasing
- FP used as stack (*pino*)
- MMX multimedia instructions use the same registers, but use them with names
- MMX-usage: bits 64-79 are set to 1 → NaN
- FP Tag (word) indicate which usage is current
  - First MMX instr. set
  - EMMS (Empty MMX State) instruction reset



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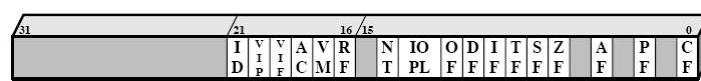
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## Pentium: EFLAGS Register

(Sta09 Fig 12.22)

(Sta06 Fig 12.20)



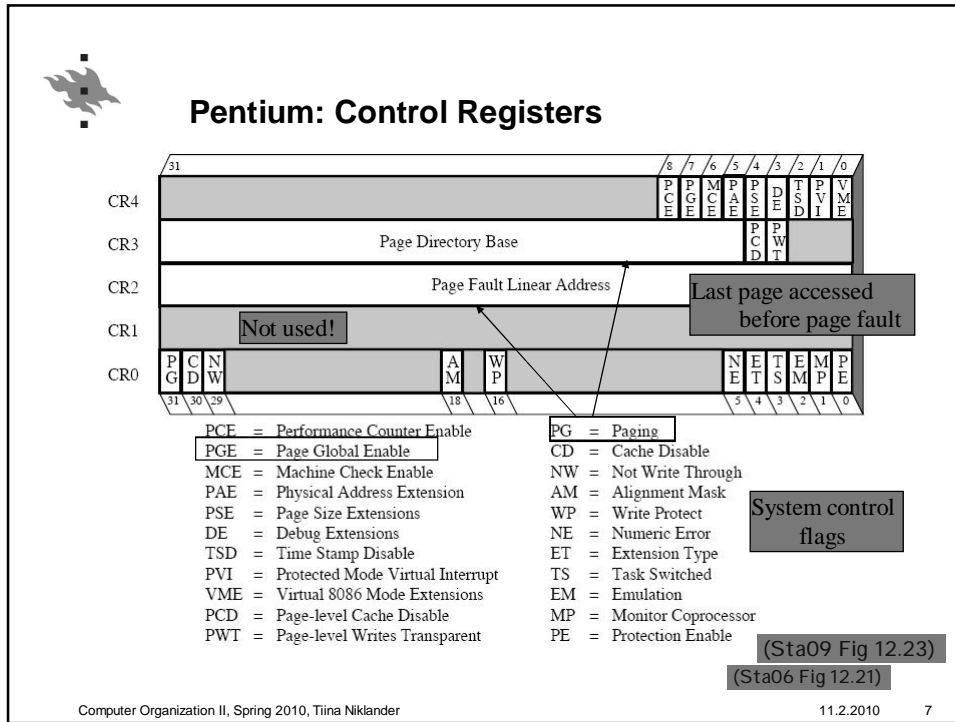
ID	=	Identification flag
VIP	=	Virtual interrupt pending
VIF	=	Virtual interrupt flag
AC	=	Alignment check
VM	=	Virtual 8086 mode
RF	=	Resume flag
NT	=	Nested task flag
IOPL	=	I/O privilege level
OF	=	Overflow flag

DF	=	Direction flag
IF	=	Interrupt enable flag
TF	=	Trap flag
SF	=	Sign flag
ZF	=	Zero flag
AF	=	Auxiliary carry flag
PF	=	Parity flag
CF	=	Carry flag

- Condition of the processor: carry, parity, auxiliary, zero, sign, and overflow
  - Used in conditional branches

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### Pentium: Interrupts

See Sta09 Table 12.3

- Calling interrupt handler; atomic hardware functionality!
  - If not in privileged mode (*etuoikeutettu tila*)
  - PUSH(SS) stack segment selector to stack
  - PUSH(ESP) stack pointer to stack as subroutine call
  - PUSH(EFLAGS) status register to stack
  - EFLAGS.IOPL ← 00 set privileged mode
  - EFLAGS.IF ← 0 disable interrupts (*keskeytys*)
  - EFLAGS.TF ← 0 disable exceptions (*poikkeus*)
  - PUSH(CS) code segment selector to stack
  - PUSH(EIP) instruction pointer to stack (*käskeysoitin*)
  - PUSH(error code) if needed
  - number ← interrupt controller / INT-instruction / status register
  - CS ← interrupt vector [number].CS
  - EIP ← interrupt vector [number].EIP
- Return
  - Privileged IRET-instruction
  - POP everything from stack to their places

Address translation:  
 Segment number- and  
 offset from interrupt vector =>  
 Address of the interrupt handler

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Exception and Interrupt Vector Table

Vector Number	Description
0	Divide error; division overflow or division by zero
1	Debug exception; includes various faults and traps related to debugging
2	NMI pin interrupt; signal on NMI pin
3	Breakpoint; caused by INT 3 instruction, which is a 1-byte instruction useful for debugging
4	INTO-detected overflow; occurs when the processor executes INTO with the OF flag set
5	BOUND range exceeded; the BOUND instruction compares a register with boundaries stored in memory and generates an interrupt if the contents of the register is out of bounds.
6	Undefined opcode
7	Device not available; attempt to use ESC or WAIT instruction fails due to lack of external device
8	Double fault; two interrupts occur during the same instruction and cannot be handled serially
9	Reserved
10	Invalid task state segment; segment describing a requested task is not initialized or not valid
11	Segment not present; required segment not present
12	Stack fault; limit of stack segment exceeded or stack segment not present
13	General protection; protection violation that does not cause another exception (e.g., writing to a read-only segment)
14	Page fault
15	Reserved
16	Floating-point error; generated by a floating-point arithmetic instruction
17	Alignment check; access to a word stored at an odd byte address or a doubleword stored at an address not a multiple of 4
18	Machine check; model specific
19-31	Reserved
32-255	User interrupt vectors; provided when INTR signal is activated

Unshaded: exceptions  
 Shaded: interrupts

Sta09 Table 12.3



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# ARM



## ARM features

- Array of uniform registers (moderate number)
- Fixed length (32 bit) instruction (Thumb 16 bit)
- Load/Store architecture,
- Small number of addressing modes (reg + instr. field)
- Autoincrement addressing mode (for program loops)
- Data processing instructions allow shift or rotate to preprocess one of source regs
  - Separate ALU and shifter for this purpose
- Conditional execution of instructions
  - Fewer conditional branches, improves pipeline efficiency

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## ARM Processor Organization

Varies substantially - different versions of ARM architecture

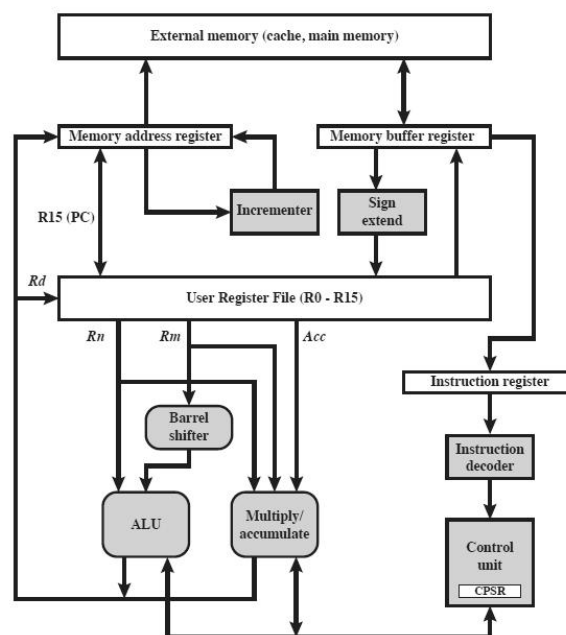
Simplified, generic organization

Register file: set of 32-bit registers, total 37 regs

31 general-purpose regs


6 status regs

Partially overlapping banks



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### Processor modes

- User mode
  - no access to protected system resources, can cause exception
- Supervisor mode
  - For OS, starts with software interrupt instruction
- Abort mode – due to memory faults
- Undefined mode – instruction not supported
- Fast interrupt mode
  - Interrupt from designated fast interrupt source
  - Not interruptable, can interrupt normal interrupt
- Interrupt mode
  - Any other interrupt signal, can be interrupted by fast interrupt
- System mode
  - Only for certain privileged OS tasks

Exception modes

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### Register organization

		Modes					
		Privileged modes					
		Exception modes					
	User	System	Supervisor	Abort	Undefined	Interrupt	Fast Interrupt
R0	R0	R0	R0	R0	R0	R0	R0
R1	R1	R1	R1	R1	R1	R1	R1
R2	R2	R2	R2	R2	R2	R2	R2
R3	R3	R3	R3	R3	R3	R3	R3
R4	R4	R4	R4	R4	R4	R4	R4
R5	R5	R5	R5	R5	R5	R5	R5
R6	R6	R6	R6	R6	R6	R6	R6
R7	R7	R7	R7	R7	R7	R7	R7
R8	R8	R8	R8	R8	R8	R8	R8_fiq
R9	R9	R9	R9	R9	R9	R9	R9_fiq
R10	R10	R10	R10	R10	R10	R10	R10_fiq
R11	R11	R11	R11	R11	R11	R11	R11_fiq
R12	R12	R12	R12	R12	R12	R12	R12_fiq
R13 (SP)	R13 (SP)	R13_sve	R13_abt	R13_und	R13_irq	R13_fiq	
R14 (LR)	R14 (LR)	R14_sve	R14_abt	R14_und	R14_irq	R14_fiq	
R15 (PC)	R15 (PC)	R15 (PC)	R15 (PC)	R15 (PC)	R15 (PC)	R15 (PC)	R15 (PC)
CPSR	CPSR	CPSR	CPSR	CPSR	CPSR	CPSR	CPSR
		SPSR_sve	SPSR_abt	SPSR_und	SPSR_irq	SPSR_fiq	

SP – stack pointer  
 LR – link register  
 PC – program counter  
 CPSR – current program status register  
 SPSR – saved program status register

*Shaded regs replaced in exception modes!*

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### Program status regs (CPSR & SPSR)

31 30 29 28 27 26 25 24 23 22 21 20 19 18 17 16 15 14 13 12 11 10 9 8 7 6 5 4 3 2 1 0

N	Z	C	V	Q	Res	J	Reserved	GE[3:0]	Reserved	E	A	I	F	T	M[4:0]
---	---	---	---	---	-----	---	----------	---------	----------	---	---	---	---	---	--------


User flags

- N,Z,C,V – condition code
- Q – overflow or saturation in SIMD-orient. instr.
- J – Jazelle instruction in use
- GE[3:0] – for SIMD as greater than or equal flags for individual bytes or halfwords of the result

System control flags

- E – endianness in load/store
- A,I,F – interrupt disable bits (A - imprecise data aborts, I – normal IRQ, F – fast FIQ)
- T – normal / Thumb instr.
- M[4:0] – mode

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### ARM Interrupt vector


Table lists the exception types and the address in interrupt vector for that type.

The vector contains the start addresses of the interrupt handlers.

Exception type	Mode	Normal entry address	Description
Reset	Supervisor	0x00000000	Occurs when the system is initialized.
Data abort	Abort	0x00000010	Occurs when an invalid memory address has been accessed, such as if there is no physical memory for an address or the correct access permission is lacking.
FIQ (fast interrupt)	FIQ	0x0000001C	Occurs when an external device asserts the FIQ pin on the processor. An interrupt cannot be interrupted except by an FIQ. FIQ is designed to support a data transfer or channel process, and has sufficient private registers to remove the need for register saving in such applications, therefore minimizing the overhead of context switching. A fast interrupt cannot be interrupted.
IRQ (interrupt)	IRQ	0x00000018	Occurs when an external device asserts the IRQ pin on the processor. An interrupt cannot be interrupted except by an FIQ.
Prefetch abort	Abort	0x0000000C	Occurs when an attempt to fetch an instruction results in a memory fault. The exception is raised when the instruction enters the execute stage of the pipeline.
Undefined instructions	Undefined	0x00000004	Occurs when an instruction not in the instruction set reaches the execute stage of the pipeline.
Software interrupt	Supervisor	0x00000008	Generally used to allow user mode programs to call the OS. The user program executes a SWI instruction with an argument that identifies the function the user wishes to perform.

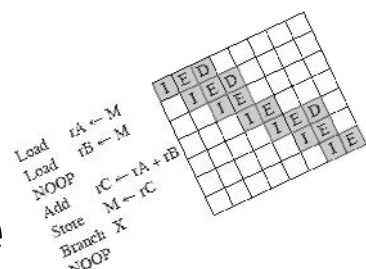
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## Computer Organization II


# RISC- architecture



**Ch 13 [Sta06]**

- Instructions
- RISC vs. CISC
- Register allocation


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## Hardware mile stones

<b>Atlas</b>	■ Virtual memory, 1962	Tom Kilburn
	■ Simpler memory management	
<b>Atlas</b>	■ Pipeline, 1962	Tom Kilburn
<b>IBM S/360, DEC PDP-8</b>	■ Architecture family concept, 1964	Gene Amdahl
	■ Set of computers using the same instruction set	
<b>IBM S/360</b>	■ Microprogrammed control, 1964	Maurice Wilkes
	■ Easier control design and impl.	
<b>Univac</b>	■ Multiple processors, 1964	J.P. Eckert, John Mauchly
	■ test_and_set instruction needed	
<b>IBM S/360</b>	■ Cache, 1965	Maurice Wilkes
	■ Huge improvement in performance	
<b>IBM</b>	■ RISC-architecture, 1980	John Cocke, 1974
	■ Simple instruction set	J.L. Hennessy & D.A. Patterson
<b>IBM, Intel</b>	■ Superscalar CPU, 1989	John Cocke, 1965
	■ Multiple instruction per cycle	IBM, Intel
<b>Intel</b>	■ Hyperthreading CPU, 2001	CDC, 1964    Intel
	■ Several register sets and virtual processors on chip	
<b>Intel, Sony-Toshiba-IBM</b>	■ Multicore CPU, 2005	
	■ Several full processors on chip	Intel    IBM


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## CISC (Complex Instruction Set Computer)

- Goal: Shrink the **semantic gap** (*semanttinen kulu*) between high-level language and machine instruction set
  - Expressiveness of high-level languages has increased
  - "Simple" compilations
    - Language structures match nicely with instructions
  - Lot of different instructions for different purposes
  - Lot of different data types
  - Lot of different addressing modes
  - Complex tasks performed in hardware by control unit, not in the machine code level (single instruction)
    - Less instructions in one program (shorter code)
    - Efficient execution of complex tasks

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## Operations and Operands, which are used?

- Year 1982, computer: VAX, PDP-11, Motorola 68000
- Dynamic, occurrences during the execution

	Dynamic Occurrence		Machine-Instruction Weighted		Memory-Reference Weighted	
	Pascal	C	Pascal	C	Pascal	C
ASSIGN	45%	38%	13%	13%	14%	15%
LOOP	5%	3%	42%	32%	33%	26%
CALL	15%	12%	31%	33%	44%	45%
IF	29%	43%	11%	21%	7%	13%
GOTO	—	3%	—	—	—	—
OTHER	6%	1%	3%	1%	2%	1%

Weighted Relative Dynamic Frequency of HLL Operations [PAT82a]


	Pascal	C	Average
Integer Constant	16%	23%	20%
Scalar Variable	58%	53%	55%
Array/Structure	26%	24%	25%

**Dynamic Percentage of Operands**

80% of references to local variables

(Sta06 Table 13.2, 13.3)

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## Subroutine (procedure, function) calls?

- Lot of subroutine calls
- Calls rarely have many parameters
- Nested (*sisäkkäinen*) calls are rare


(Sta06 Table 13.4)

Percentage of Executed Procedure Calls With	Compiler, Interpreter, and Typesetter	Small Nonnumeric Programs
>3 arguments	0–7%	0–5%
>5 arguments	0–3%	0%
>8 words of arguments and local scalars	1–20%	0–6%
>12 words of arguments and local scalars	1–6%	0–3%

Procedure Arguments and Local Scalar Variables

- How to use the information?
  - 98% less than 6 parameters
  - 92% less than 6 local variables

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## Observations

- Most operands are simple
- Many jumps and branches
- Compilers do not always use the complex instructions
  - They use only a subset of the instruction set
- Conclusion?


Occam's razor (Occamin partaveitsi)

*"Entia non sunt multiplicanda praeter necessitatem"*  
 ("Entities should not be multiplied more than necessary")  
 William Of Occam (1300-1349)  
 English monk, philosopher



*"It is vain to do with more that which can be done with less"*

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## Optimize

- Optimize the parts that consume most of the time
  - Procedure calls, loops, memory references, addressing, ...
- Bad example: rarely used (10%) floating point instructions improved to run 2x:

No speedup


Speedup: 1/2

$$\begin{aligned}
 \text{ExTime}_{\text{new}} &= \text{ExTime}_{\text{old}} * (0.9 * 1.0 + 0.1 * 0.5) \\
 &= 0.95 * \text{ExTime}_{\text{old}}
 \end{aligned}$$


Speedup =  $\text{ExTime}_{\text{old}} / \text{ExTime}_{\text{new}} = 1 / 0.95 = 1.053 \ll 2$

Amdahl's law

*Speedup due to an enhancement is proportional to the fraction of the time (in the original system) that the enhancement can be used.*



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## Optimization

- Optimize execution speed (*suoritusnopeus*), instead of ease of compilation
  - Compilers are good, machines are efficient
    - Compiler can and has time to do the optimization
  - Do most important, common things in hardware and fast
    - E.g. 1-dim array reference
  - And the rest in software
    - E.g. multidim. arrays, string processing, ...
    - Library routines for these

⇒ RISC architecture (Reduced Instruction Set Computer)

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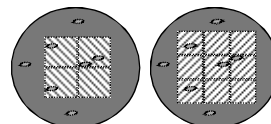
## RISC architecture

- Plenty of registers (minimum 32)
  - Compilers optimize register usage
- LOAD / STORE architecture
  - Only LOAD and STORE do memory referencing
- Small set of simple instructions
- Simple, fixed-length instruction format (32b)
  - Instruction fetch and decoding simple and efficient
- Small selection of simple address references
  - No indirect memory reference
  - Fast address translation
- Limited set of different operands
  - 32b integers, floating-point
- One or more instructions are done on each cycle




## RISC architecture

- CPU easier to implement
  - Pipeline control and optimization simpler
  - Hardwired (*langoitettu*)
- Smaller chip (*piiri*) size
  - More chips per die (*lastu, kiekko*)
  - Smaller waste%
- Cheaper manufacturing
- Faster marketing



25% yield (OK) 75% wasted      55% yield (OK) 45% wasted




## RISC vs. CISC

Characteristic	Complex Instruction Set (CISC) Computer			Reduced Instruction Set (RISC) Computer		Superscalar		
	IBM 370/168	VAX 11/780	Intel 80486	SPARC	MIPS R4000	PowerPC	Ultra SPARC	MIPS R10000
Year developed	1973	1978	1989	1987	1991	1993	1996	1996
Number of instructions	208	303	235	69	94	225		
Instruction size (bytes)	2-6	2-57	1-11	4	4	4	4	4
Addressing modes	4	22	11	1	1	2	1	1
Number of general-purpose registers	16	16	8	40 - 520	32	32	40 - 520	32
Control memory size (Kbits)	420	480	246	—	—	—	—	—
Cache size (KBytes)	64	64	8	32	128	16-32	32	64

**Characteristics of Some CISCs, RISCs, and Superscalar Processors**

(Sta06 Table 13.1)

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## RISC vs. CISC

Processor	Number of instruction sizes	Max instruction size in bytes	Number of addressing modes	Indirect addressing	Load/store combined with arithmetic	Max number of memory operands	Unaligned addressing allowed	Max Number of MMU uses	Number of bits for integer register specifier	Number of bits for FP register specifier
AMD29000	1	4	1	no	no	1	no	1	8	3 <sup>a</sup>
MIPS R2000	1	4	1	no	no	1	no	1	5	4
SPARC	1	4	2	no	no	1	no	1	5	4
MC88000	1	4	3	no	no	1	no	1	5	4
HP PA	1	4	10 <sup>a</sup>	no	no	1	no	1	5	4
IBM RT/PC	2 <sup>a</sup>	4	1	no	no	1	no	1	4 <sup>a</sup>	3 <sup>a</sup>
IBM RS/6000	1	4	4	no	no	1	yes	1	5	5
Intel i860	1	4	4	no	no	1	no	1	5	4
IBM 3090	4	8	2 <sup>b</sup>	no <sup>b</sup>	yes	2	yes	4	4	2
Intel 80486	12	12	15	no <sup>b</sup>	yes	2	yes	4	3	3
NSC 32016	21	21	23	yes	yes	2	yes	4	3	3
MC68040	11	22	44	yes	yes	2	yes	8	4	3
VAX	56	56	22	yes	yes	6	yes	24	4	0
Clipper	4 <sup>a</sup>	8 <sup>a</sup>	9 <sup>a</sup>	no	no	1	0	2	4 <sup>a</sup>	3 <sup>a</sup>
Intel 80960	2 <sup>a</sup>	8 <sup>a</sup>	9 <sup>a</sup>	no	no	1	yes <sup>a</sup>	—	5	3 <sup>a</sup>

a RISC that does not conform to this characteristic.  
b CISC that does not conform to this characteristic.

(Sta06 Table 13.7)


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## Computer Organization II

# Register usage

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## Register storage (Register file)

- More registers than addressable in the instruction
  - E.g. SPARC has just 5 bits for register number → 0.. 31, but the processor has 40 to 540 registers
- Small subset of registers available for each instruction in **register window**
  - In the window references to register r0-r31
  - CPU maps them to actual (true) registers r0-r539

Instruction

Current Window Pointer

W#

Decoder

Registers

Data

(Sta06 Fig 13.3)

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### Register window (*rekisteri-ikkuna*)

- Procedure call uses registers instead of stack
  - Fixed number of registers for parameters and local variables (*paikalliset muuttujat*)
  - Overlapping area to allow parameter passing to the next procedure and back to caller

(Sta06 Fig 13.1)

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### Register window (*rekisteri-ikkuna*)

- Too many nested calls (*sisäkkäinen kutsu*)
  - Most recent calls in registers
  - Older activations saved to memory
  - Restore when nesting depth decreases
  - Overlap only when needed
- Global variable?
  - In memory or own register window
- SPARC
  - r0-r7 global var. Real registers
  - r8-r15 parameters (in caller)
  - r16-r23 local variables
  - r24-r31 parameters (to called) Virtual registers

(Sta06 Fig 13.2)

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## Register set vs. cache

(Sta06 Table 13.5)

Large Register File	Cache
All local scalars	Recently-used local scalars
Individual variables <span style="border: 1px solid black; padding: 2px;">time ↔ space</span>	Blocks of memory
Compiler-assigned global variables	Recently-used global variables
Save/Restore based on procedure nesting depth	Save/Restore based on cache replacement algorithm
Register addressing <span style="border: 1px solid black; padding: 2px;">Number of bits</span>	Memory addressing

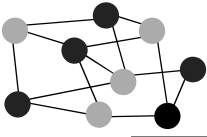
- The register file acts like a small, fast buffer (as cache?)
  - Register is faster, needs less bits in addressing, **but**
- Difficult for compiler to determine in advance, which of the global variable to place in registers
- Cache decides this issue dynamically
  - Most used and referenced stay in cache

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## Compiler-based register optimization (allocation of registers)

- Problem: Graph coloring
  - Minimize the number of different colors, while adjacent nodes have different color
- = Difficult problem (NP-complete)



Models of Computation  
-course

- Form a network of symbolic registers based on the program code
  - Symbolic register~ any program quantity that could be in register
  - The edges of the graph join together program quantities that are used in the same code fragment
- Allocate real registers based on the graph
  - Two symbolic registers that are not used at the same time (no edge between them) can be allocated to the same real register (use the same color)
  - If there are no more free registers, use memory addresses

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### Allocation of registers (compiler-based register optimization)

- Node (*solmu*) = symbolic register
- Edge (*särmä*) = symbolic registers used at the same time
- $n$  colors =  $n$  registers

(a) Time sequence of active use of registers

(b) Register interference graph

(Sta06 Fig 13.4)

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### RISC-pipeline

Load  $rA \leftarrow M$   
Load  $rB \leftarrow M$   
Add  $rC \leftarrow rA + rB$   
Store  $M \leftarrow rC$   
Branch X

(a) Sequential execution 13

(b) Two-stage pipelined timing 10  
Single port MEM

Load  $rA \leftarrow M$   
Load  $rB \leftarrow M$   
Add  $rC \leftarrow rA + rB$   
Store  $M \leftarrow rC$   
Branch X  
NOOP

(c) Three-stage pipelined timing 8  
Two port MEM  
(split cache enough?)

Load  $rA \leftarrow M$   
Load  $rB \leftarrow M$   
Add  $rC \leftarrow rA + rB$   
Store  $M \leftarrow rC$   
Branch X  
NOOP  
NOOP

(d) Four-stage pipelined timing 11  
Clock cycle?

(Sta06 Fig 13.6)

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### RISC-pipeline, Delayed Branch

	1	2	3	4	5	6	7	8
100 LOAD X, rA	I	E	D					
101 ADD I, rA		I	E					
102 JUMP 105				I	E			
103 ADD rA, rB					I			
105 STORE rA, Z						I	E	D

Traditional

100 LOAD X, rA	I	E	D					
101 ADD I, rA		I	E					
102 JUMP 106			I	E				
103 NOOP				I	E			
106 STORE rA, Z					I	E	D	

RISC with inserted NOOP  
Two port MEM

100 LOAD X, rA	I	E	D					
101 JUMP 105		I	E					
102 ADD I, rA			I	E				
105 STORE rA, Z				I	E	D		

RISC with reversed instructions  
(Sta06 Fig 13.7)

Branch (ehdollinen hyppy):  
 JZERO 105, rA ??

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### RISC & CISC United?

- Pentium, CISC 'compilation' at every execution
  - Each 1 – 11 byte-length CISC-instruction is 'translated' by hardware to one or more 118-bit micro-operations (stored in L1 instruction cache)
  - Lower levels (including control unit) as RISC
  - Lot of work registers, used by the hardware
- Crusoe (Transmeta) Just in time (JIT) compilation
  - Outside looks like CISC-architecture
  - Group of Instructions 'translated' by software to just before execution to fixed-length micro-operations; these can be optimized before execution
    - VLIW (very long instruction word, 128 bits)
    - 4 μops/VLIW-instruction
  - Lower levels as RISC 'compilation' just once per group

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## Review Questions /Kertauskysymyksiä

- Main features and characteristics of RISC-architecture?
- How register windows are used?

- Mitkä ovat RISC arkkitehtuurin tunnuspiirteet?
- Miten rekisteri-ikkunoita käytetään?