

# Superscalar Processors

## Ch 14

Limitations, Hazards  
 Instruction Issue Policy  
 Register Renaming  
 Branch Prediction  
 PowerPC, Pentium 4

9.10.2002

Copyright Teemu Kerola 2002

1

## Superscalar Processing <sup>(5)</sup>

- Basic idea: more than one instruction completion per cycle
- Aimed at speeding up scalar processing
  - use many pipelines and Fig. 14.2  
 not just more pipeline phases (Fig. 13.2 [Stal99])
- Many instructions in execution phase simultaneously
  - need parallelism also in earlier & later phases
  - may not execute (completely) in given order
- Multiple pipelines (Fig. 13.1 [Stal99])
  - question: when can instruction be executed? Fig. 14.1
- Fetch many instructions at the same time
  - memory access must not be bottleneck

9.10.2002

Copyright Teemu Kerola 2002

2

## Why couldn't we execute this instruction right now? <sup>(4)</sup>

Fig. 14.3

(Fig. 13.3 [Stal99])

- (True) Data Dependency

(datariippuvuus)

```
load  r4, salary(r6)
mul   r2, r4, r10
```

- Procedural or Control Dependency

- even more costlier than with normal pipeline

(kontrolli-riippuvuus)

- now may waste more than one instruction!

- Resource Conflict

- there is no available circuit right now
- memory buffer, FP adder, register file port

(resurssi-konflikti)

- Usual solution: circuits to detect problem and stall pipeline when needed

9.10.2002

Copyright Teemu Kerola 2002

3

## New dependency for superscalar case? <sup>(8)</sup>

- Name dependency

(nimiriippuvuus)

- two instructions use the same data item
  - register or in memory
- no value passed from one instruction to another
- instructions have all their correct data available
- each individual result is the one intended
- overall result is not the one intended
- two cases: Output Dependency & Antidependency

(kirjoitusriippuvuus?)

(antiriippuvuus)

- examples on next 2 slides
- what if there are aliases?
  - E.g., two registers point to same physical address

9.10.2002

Copyright Teemu Kerola 2002

4

## Output Dependency?

- Some earlier instruction has not yet finished writing from the same location (register) that we want to write to
  - execution time semantics determined by the original order of machine instructions
- Need to preserve order

```
read  r1, X
add   r2, r1, r3
add   r1, r4, r5
```

Want to have sum of r4 and r5 in r1 after all these three instructions were executed (not value of variable X)

9.10.2002

Copyright Teemu Kerola 2002

5

## Antidependency

Some earlier instruction has not yet finished reading from the same location that we want to write to  
Need to preserve order

```
mv    r2, r1
add   r1, r4, r5
```

Want to have original value of r1 in r2

9.10.2002

Copyright Teemu Kerola 2002

6

## Machine Parallelism <sup>(2)</sup>

- Instruction-level parallelism (ILP)
  - How much parallelism is there
  - Theoretical maximum
- Machine parallelism
  - How much parallelism is achieved by any specific machine or architecture?
  - At most as much as instruction-level parallelism
    - dependencies?
    - physical resources?
    - not optimized (I.e., stupid?) design?

9.10.2002

Copyright Teemu Kerola 2002

7

## Superscalar Processor <sup>(4)</sup>

- Instruction dispatch Fig. 14.6
  - get next available executable instruction from instruction stream (Fig. 13.6 [Stal99])
- Window of execution
  - all instructions that are considered to be issued
- Instruction issue
  - allow instruction to start execution
  - execution and completion phase should continue now with no stalls
  - if any stalls needed, do them before issue
- Instruction reorder and commit (retiring)
  - hopefully all system state changes here!
  - last chance to change order or abandon results

9.10.2002

Copyright Teemu Kerola 2002

8

## Instruction Dispatch <sup>(7)</sup>

Fig. 14.6

(Fig. 13.6 [Stal99])

- Whenever there are both
  - available slots in window of execution
  - ready instructions from prefetch or branch prediction buffer
    - instructions that do not need to stall at all during execution
    - all dependencies do not need to be solved yet

9.10.2002

Copyright Teemu Kerola 2002

9

## Window of Execution

Fig. 14.6

(Fig. 13.6 [Stal99])

- Bigger is better
  - easier to find a good candidate that can be issued right now
  - more work to figure out all dependencies
  - too small value will limit machine parallelism significantly
    - E.g., 6<sup>th</sup> instruction could be issued, but only 4 next ones are even considered

9.10.2002

Copyright Teemu Kerola 2002

10

## Instruction Issue <sup>(3)</sup>

Fig. 14.6

(Fig. 13.6 [Stal99])

- Select next instruction(s) for execution
- Check first everything so that execution can proceed with no stalls (stopping) to the end
  - resource conflicts
  - data dependencies
  - control dependencies
  - output dependencies
  - antidependencies
- Simpler instruction execution pipelines
  - no need to check for dependencies

”data in R4 is not yet there, but it will be there in three cycles when it is needed by this instruction”

9.10.2002

Copyright Teemu Kerola 2002

11

## Instruction Issue Policies <sup>(3)</sup>

- Instruction fetch policy
  - constraints on how many instructions are considered to be dispatched at a time
    - E.g., 2 instructions fetched and decoded at a time
      - ⇒ both must be dispatched before next 2 fetched
- Instruction execution policy
  - constraints on which order dispatched instructions may start execution
- Completion policy
  - constraints the order of completions

9.10.2002

Copyright Teemu Kerola 2002

12

## Example 1 of Issue Policy <sup>(7)</sup>

- In-order issue with in-order completion
  - same as purely sequential execution Fig. 14.4 (a)
  - no instruction window needed (Fig. 13.4 (a) [Stal99])
  - instruction issued only in original order
    - many can be issued at the same time
  - instructions completed only in original order
    - many can be completed at the same time
  - check before issue:
    - resource conflicts, data & control dependencies
    - execution time, so that completions occur in order: wait long enough that earlier instructions will complete first
  - Pentium II: out-of-order middle execution for micro-ops ( $\mu$ Ops) with in-order completion

9.10.2002

Copyright Teemu Kerola 2002

13

## Example 2 of Issue Policy <sup>(5)</sup>

- In-order issue with out-of-order completion
  - issue in original order
    - many can be issued at the same time Fig. 14.4 (b)
  - no instruction window needed (Fig. 13.4 (b) [Stal99])
  - allow executions complete before those of earlier instructions
  - check before issue:
    - resource conflicts, data & control dependencies
    - output dependencies: wait long enough to solve them

9.10.2002

Copyright Teemu Kerola 2002

14

## Example 3 of Issue Policy <sup>(5)</sup>

The real  
superscalar  
processor

- Out-of-order issue with out-of-order completion
  - issue in any order (Fig. 13.4 (c) [Stal99])
    - many can be issued at the same time Fig. 14.4 (c)
  - instruction window for dynamic instruction scheduling
  - allow executions complete before those of earlier instructions
  - Check before issue:
    - resource conflicts, data & control dependencies
    - output dependencies: wait for earlier instructions to write their results before we overwrite them
    - antidependencies: wait for earlier instructions issued later to pick up arguments before overwriting them

9.10.2002

Copyright Teemu Kerola 2002

15

## Get Rid of Name Dependencies <sup>(3)</sup>

- **Problem:** independent data stored in locations with the same name
  - often a storage conflict: same register used for two different purposes
  - results in wait stages (pipeline stalls, “bubbles”)
- **Cure:** register renaming
  - actual registers may be different than named registers
  - actual registers allocated dynamically to named registers
  - allocate them so that name dependencies are avoided
- **Cost:**
  - more registers
  - circuits to allocate and keep track of actual registers

9.10.2002

Copyright Teemu Kerola 2002

16

## Register Renaming <sup>(3)</sup>

Output dependency: I3 can not complete before I1 has completed first:

Antidependency: I3 can not complete before I2 has read value from R3:

Rename data in register R3 to actual hardware registers  
R3a, R3b, R3c

Rename also other registers:  
R4b, R5a, R7b

No name dependencies now:

- Drawback: need more registers
  - Pentium II: 40 extra regs + 16 normal regs
- Why R3a & R3b?

R3:=R3 + R5;	(I1)
R4:=R3 + 1;	(I2)
R3:=R5 + 1;	(I3)
R7:=R3 + R4;	(I4)
R3b:=R3a + R5a	(I1)
R4b:=R3b + 1	(I2)
R3c:=R5a + 1	(I3)
R7b:=R3c + R4b	(I4)

9.10.2002
Copyright Teemu Kerola 2002
17

## Superscalar Implementation <sup>(7)</sup>

- Fetch strategy
  - prefetch, branch prediction
- Dependency check logic
- Forwarding circuits (shortcuts) to transfer dependency data directly instead via registers or memory (to get data accessible earlier)
- Multiple functional units (pipelines)
- Effective memory hierarchy to service many memory accesses simultaneously
- Logic to issue multiple instruction simultaneously
- Logic to commit instruction in correct order

Fig. 14.6  
(Fig. 13.6 [Stal99])

9.10.2002
Copyright Teemu Kerola 2002
18

## Overall Gain from Superscalar Implementation

- "Base" machine, starting point for comparison
  - out-of-order issue
- See the effect of ...
  - renaming (Fig. 13.5 [Stal99])  $\Rightarrow$  right graph
  - issue window size Fig. 14.5  $\Rightarrow$  color of vertical bar
  - duplicated
    - data cache access  $\Rightarrow$  "+ld/st"
    - ALU  $\Rightarrow$  "ALU"
    - both  $\Rightarrow$  "both"
- Max speed-up about 4

9.10.2002

Copyright Teemu Kerola 2002

19

9.10.2002

Copyright Teemu Kerola 2002

20

## Example: PowerPC 601 Architecture <sup>(2)</sup>

- General RISC organization
  - instruction formats Fig. 11.9 (Fig. 10.9 [Stal99])
  - 3 execution units Fig. 14.10 (Fig. 13.10 [Stal99])
- Logical view Fig. 14.11 (Fig. 13.11 [Stal99])
  - 4 instruction window for issue
  - each execution unit picks up next one for it whenever there is room for new instruction
  - integer instructions issued only when 1st (dispatch buffer 0) in queue

9.10.2002

Copyright Teemu Kerola 2002

21

## PowerPC 601 Pipelines <sup>(4)</sup>

- Instruction pipelines (Fig. 13.12 [Stal99])  
Fig. 14.12
  - all state changes in final “Write Back” phase
  - up to 3 instruction can be dispatched at the same time, and issued right after that in each pipeline if no dependencies exist
    - dependencies solved by stalls
  - ALU ops place their result in one of 8 condition code field in condition register
    - up to 8 separate conditions active concurrently

9.10.2002

Copyright Teemu Kerola 2002

22

## PowerPC 601 Branches <sup>(4)</sup>

- Zero cycle branches
  - branch target addresses computed already in lower dispatch buffers
    - before dispatch or issue!
  - Easy: unconditional branches (jumps) or branch on already resolved condition code field
  - otherwise
    - conditional branch backward: guess taken
    - conditional branch forward: guess not taken
    - if speculation ends up wrong, cancel conditional instructions in pipeline before write-back
    - speculate only on one branch at a time

9.10.2002

Copyright Teemu Kerola 2002

23

## PowerPC 601 Example

- Conditional branch example
  - Original C code Fig. 14.13 (a) (Fig. 13.13 [Stal99])
  - Assembly code Fig. 14.13 (b)
    - predict branch not taken
  - Correct branch prediction (Fig. 13.14 [Stal99])  
Fig. 14.14 (a)
  - Incorrect branch prediction Fig. 14.14 (b)

9.10.2002

Copyright Teemu Kerola 2002

24

## PowerPC 620 Architecture

- 6 execution units
- Up to 4 instructions dispatched simultaneously
- Reservation stations to store dispatched instructions and their arguments
  - kind of rename registers also!

[HePa96] Fig. 4.49

9.10.2002

Copyright Teemu Kerola 2002

25

## PowerPC 620 Rename Registers <sup>(7)</sup>

- Rename registers to store results not yet committed
  - normal uncompleted and speculative instructions
  - 8 int and 12 FP extra rename registers
    - in same register file as normal registers
  - results copied to normal registers at commit
  - information on what to do at commit is in completion unit in reorder buffers
- Instruction completes (commits) from completion unit reorder buffer once all previous instructions are committed
  - max 4 instructions can commit at a time

[HePa96] Fig. 4.49

9.10.2002

Copyright Teemu Kerola 2002

26

## PowerPC 620 Speculation

- Speculation on branches
  - 256-entry branch target buffer
    - two-way set-associative
  - 2048-entry branch history table
    - used when branch target buffer misses
  - speculation on max 4 unresolved branches

9.10.2002

Copyright Teemu Kerola 2002

27

9.10.2002

Copyright Teemu Kerola 2002

28

## Intel Pentium II speculation

- 512-entry branch target buffer
  - 4-bit prediction state, 4-way set-associative
- Static prediction
  - used before dynamic will work
  - forward "not taken", backward "taken"
- In-order-completion for 40  $\mu$ ops (micro-operations) limits speculation
- 4-entry Return Stack Buffer (RSB)
  - return addresses are often found quickly without accessing Activation Record Stack

9.10.2002

Copyright Teemu Kerola 2002

29

9.10.2002

Copyright Teemu Kerola 2002

30

## Example: Pentium 4

- Outside: CISC ISA
- Inside: full superscalar RISC core with micro-operations ( $\mu$ ops)
- Very long pipeline Fig. 14.7
  - get next ISA instruction (rarely)
    - map it to  $\mu$ ops
  - get  $\mu$ ops from Trace Cache (usually) Fig. 14.8
    - Trace Cache = L1 Instruction Cache
    - additional  $\mu$ ops from ROM, if needed
  - finish with  $\mu$ ops
  - drive stages just to make up for the time for the signal to traverse the chip

9.10.2002

Copyright Teemu Kerola 2002

31

## Pipeline Front End

- a) Fetch instruction from L2 cache and generate  $\mu$ ops when needed
  - store them to Trace Cache Fig. 14.9 (a-f)
  - static branch prediction
    - backward "taken", forward "not taken"
- b) Get new trace cache IP (for  $\mu$ ops)
  - dynamic 4-bit branch prediction with 512 entry BTB
- c) Trace cache fetch
- d) Drive – let data traverse the chip

9.10.2002

Copyright Teemu Kerola 2002

32

## Pipeline Out-of-Order Execution

### e) Allocate resources

Fig. 14.9 (a-f)

- reorder buffer (ROB) entry (one of 126)
  - state: scheduled, dispatched, completed, ready
  - original IA-32 instruction address
  - $\mu$ op and which operands for it are available
  - alias register for result (one of 128 ROB registers referencing one of 8 IA-32 register, or one of 48 load or 24 store buffers)
    - true data dependencies solved with these
    - false dependencies avoided by these
  - 2 Register Alias Tables (RAT) keep track where current version of each 8 IA-32 register (E.g., EAX) is

9.10.2002

Copyright Teemu Kerola 2002

33

## Pipeline Out-of-Order Execution

### f) 2 FIFO queues for $\mu$ op scheduling

Fig. 14.9 (a-f)

- memory  $\mu$ ops
- non-memory  $\mu$ ops
- instruction "dispatch" to execution window from these queues
- in-order from each queue, out-of-order globally

Fig. 14.9 (g-l)

### g) Schedule and (h) dispatch $\mu$ ops (superscalar)

- window of execution = ???  $\mu$ ops
- max 6 instructions "issued" each cycle
- out-of-order scheduling (because of 2 queues)

9.10.2002

Copyright Teemu Kerola 2002

34

# Pipeline Int and FP Units

Fig. 14.9 (g-l)

- i) Register access, data cache access
- j) Execute, set flags
  - Many different, pipelined execution units
    - E.g., double speed ALU for most common cases
  - Update RAT, allow new  $\mu$ ops to issue
- k) Branch checking
  - "kill" bad instructions in pipeline
- l) Give branch prediction
  - let signals propagate

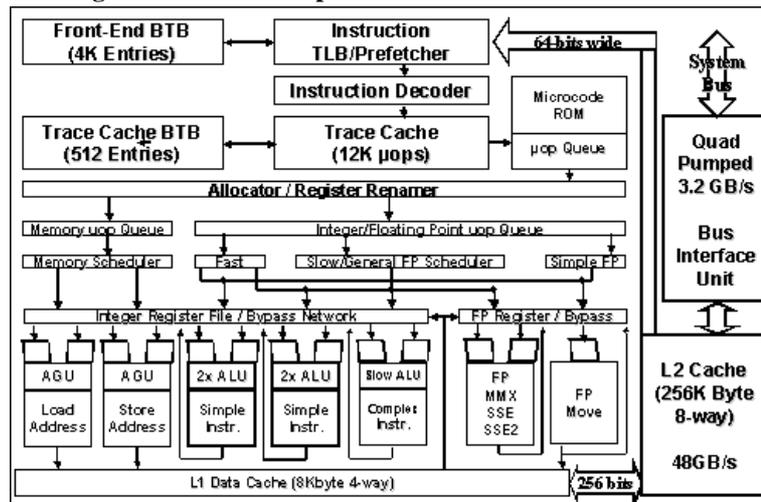
9.10.2002

Copyright Teemu Kerola 2002

35

## -- End of Chapter 14: Superscalar --

Figure 4: Pentium® 4 processor microarchitecture



[http://www.intel.com/technology/itj/q12001/articles/art\\_2.htm](http://www.intel.com/technology/itj/q12001/articles/art_2.htm)

9.10.2002

Copyright Teemu Kerola 2002

36